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(Package revised 12/2)	3/03)					Version 7/03	
APPLICATION FO			2. DATE SUBMITTED		Applicant Ident	ifier	
FEDERAL ASSIST	TANCE		İ			İ	
1. TYPE OF SUBMISSION	l :		3. DATE RECEIVED BY	STATE	State Application	on Identifier	
Application ☑Construction	Preapplicat	ion	4 24 22 22 22 22 22 22 22 22 22 22 22 22				
☐ Non-Construction	☐ Constr	uction	4. DATE RECEIVED BY	FEDERAL AGENCY	Federal Identifi	er No. 3-06-0182-(06-07)	
5. APPLICANT INFOR		onstruction	<u> </u>				
Legal Name:	MATION			Organizational L	Init:		
City of Palo Alto				Department: C			
0	·						
Organizational DUNS				Division:			
Address:				Name and telep	hone number of pe	rson to be contacted on	
Street:					g this application		
250 Hamilton Avenu	ie			Prefix:	First Name:		
				Mr.	Frank		
City: Palo Alto				Middle Name:			
County: Santa Cla	ro			Last Name:			
County. Santa Cia	ıa ,			Benest			
State: CA	Zi	p Code: 9430	1	Suffix:			
1104							
Country: USA							
6. EMPLOYER IDENTI	FICATION NUM	BER (EIN):	·····	Phone number	(give area code):	FAX number (give area code):	
			7	,,,,,,		,	
-					29-2512	(650) 329-5025	
8. TYPE OF APPLICATIO	N:				PLICANT: (See bac	k of form for Application Types)	
X New	Continuati	on \square Rev	ision	С			
If Revision, enter appropria] []	Other (specify)			
(See back of form for desc	ription of letters)						
				9 NAME OF FE	DERAL AGENCY		
					tion Administra	ation	
10. CATALOG OF FE	DERAL DOMES	TIC ASSISTA	NCE NUMBER			ICANT'S PROJECT:	
		2 0 -	1 0 6			rvation System and Pilot	
TITLE: Airport Improvem	nent Program				Controlled Lighting. 2. Security Systems, Fencing and Gates		
		1505		2. Security	/ Systems, Fend	cing and Gates	
12. AREAS AFF	County and P		ounties, states, etc.):				
13. PROPOSED PRO		alo Alto, Ca		14. CONGRESSI	ONAL DISTRICTS	OF	
Start Date			Ending Date	a. Applicant		b. Project	
7/05			12/06				
15. ESTIMATED FUND	NING			16. IS APPLICAT ORDER 12372 PF		REVIEW BY STATE EXECUTIVE	
a. Federal	\$	1	273,000 .00	a. Yes. THIS	S PREAPPLICATION/A	APPLICATION WAS MADE	
b. Applicant	\$	• 1			ILABLE TO THE STAT CESS FOR REVIEW	TE EXECUTIVE ORDER 12372	
o. Applicant	•		3,350 .00	PRO	OCESS FOR REVIEW	ON ON	
c. State	\$		63,650 .00	DAT	E:		
d. Local	\$.00	b. No.	GRAM IS NOT COVE	RED BY E. O. 12372	
				_			
e. Other	\$.00		PROGRAM HAS NOT /IEW	BEEN SELECTED BY STATE FOR	
f. Program income	\$.00	17. IS THE APPLI	CANT DELINQUENT	ON ANY FEDERAL DEBT?	
g. TOTAL	\$	<u> </u>				п.,	
			340,000 .00	Yes If "Yes" atta		X No	
DOCUMENT HAS BEE	MY KNOWLED N DULY AUTHO	GE AND BELI ORIZED BY TI	EF, ALL DATA IN T IF GOVERNING BO	HIS APPLICATION/PRE DY OF THE APPLICAN	T AND THE APPLI	E TRUE AND CORRECT, THE	
DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED							
a. Authorized Representat	live				8 A1 J B1 1 1		
Prefix Mr. Last Name Benest	First	Name Frank			Middle Name		
b. Title City Manager				Suffix	ber (give area code)		
Time Oity Wal	.ugu,				c. relephone num		
d. Signature of Buthorized Representative			e. Date Signed	113/05			
ar arangement thanksive	2/						

e. Date Signed 7/13/05

Standard Form 424 (Rev. 9-2003_)
Prescribed by OMB Circular A-102

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PART II PROJECT APPROVAL INFORMATION SECTION A

Item 1. Does this assistance request requires State, local, regional, or other priority rating? □Yes ☑ No	Name of Governing Body Priority
Item 2. Does this assistance request require State, or local advisory, educational or health clearances? □Yes ☑ No	Name of Agency or Board(Attach Documentation)
Item 3. Does this assistance request require clearinghouse review in accordance with OMB Circular A-95? □Yes ☑ No	(Attach Comments) To be circulated; comments will be forwarded upon completion.
Item 4. Does this assistance request require State, local, regional or other planning approval? □Yes ☑ No	Name of Approving Agency Date/_/
Item 5. Is the proposal project covered by an approved Comprehensive plan? (Master Plan) ☑Yes □ No	Check one: State 🗆 o Local 🗆 Regional 🗆 o Location of Plan AIRPORT
Item 6. Will the assistance requested serve a Federal installation? □Yes ☑ No	Name of Federal Installation Federal Population benefiting from Project
Item 7. Will the assistance requested be on Federal land or installation? □Yes ☑ No	Name of Federal Installation Location of Federal Land Percent of Project
Item 8. Will the assistance requested have an impact or effect on the environment? □Yes ☑ No	See instructions for additional information to be provided.
Item 9. Will the assistance requested cause the displacement of individuals families, businesses, or farms? □Yes ☑ No	Number of: Individuals Families Businesses Farms
Item 10. Is there other related Federal assistance on this project previous, pending, or anticipated? □Yes ☑ No FAA Form 5100-100 (6-73) SUPERSEDES FAA FORM 5100-1	See instructions for additional information to be provided.

PART II - SECTION C

The Sponsor hereby represents and certifies as follows:

1. Compatible Land Use. - The Sponsor has taken the following actions to assure compatible usage of land adjacent to or in the vicinity of the airport:

The County of Santa Clara has an approved comprehensive airport land use plan to assure compatible usage of land adjacent to or in the vicinity of the Palo Alto Airport.

2. Defaults. - The Sponsor is not in default on any obligation to the United States or any agency of the United States Government relative to the development, operation, or maintenance of any airport, except as stated herewith:

None.

3. Possible Disabilities. - There are no facts or circumstances (including the existence of effective or proposed leases, use agreements or other legal instruments affecting use of the Airport or the existence of pending litigation or other legal proceedings) which in reasonable probability might make it impossible for the Sponsor to carry out and complete the Project or carry out the provisions of Part V of this Application, either by limiting its legal or financial ability or otherwise, except as follows:

None.

4. Land. - (a) The Sponsor holds the following property interest in the following areas of land* which are to be developed or used as part of or in connection with the Airport, subject to the following exceptions, encumbrances, and adverse interests, all of which areas are identified on the aforementioned property map designated as Exhibit "A":

The City of Palo Alto holds Fee-Simple Title to all lands associated with the Palo Alto Airport where the proposed projects are plannedr. Santa Clara County manages the airport for the City under a long term lease that expires in April 2017.

*State character of property interest in each area and list and identify for each all exceptions, encumbrances, and adverse interests of every kind and nature, including liens, easements, leases, etc. The separate areas of land need only be identified here by the area numbers shown on the property map.

PART II - SECTION C (Continued)

The Sponsor further certifies that the above is based on a title examination by a qualified attorney or title company and that such attorney or title company has determined that the Sponsor holds the above interests.

(b) The Sponsor will acquire within a reasonable time, but in any event prior to the start of any construction work under the Project, the following property interest in the following areas of land* on which such construction work is to be performed, all of which areas are identified on the aforementioned property map designated as Exhibit "A":

N/A

(C) The Sponsor will acquire within a reasonable time, and if feasible prior to the completion of all construction work under the Project, the following property interest in the following areas of land* which are to be developed or used as part of or in connection with the Airport as it will be upon completion of the Project, all of which areas are identified on the aforementioned property map designated as Exhibit "A":

N/A

5. Exclusive Rights. - There is no grant of an exclusive right for the conduct of any aeronautical activity at any airport owned or controlled by the Sponsor except as follows:

None

State character of property interest in each area and list and identify for each all exceptions, encumbrances, and adverse interests of every kind and nature, including liens, easements, leases, etc. The separate areas of land need only be identified here by the area numbers shown on the property map.

FAA Form 5100-100 (4-76)

PART III - BUDGET INFORMATION - CONSTRUCTION

SECTION A - GENERAL

- 2. Functional or Other Breakout _____

SECTION B -CALCULATION OF FEDERAL GRANT

	Use only fo	or revisions	
Cost Classification	Latest Approved Amount	Adjustment + or (-)	Total Amount Required
1. Administration expense			15,000.00
2. Preliminary expense			.00
3. Land, structures, right-of-way			15,000.00
4. Architectural engineering basic fees			95,000.00
5. Other Architectural engineering fees			.00
6. Project inspection fees			100,000.00
7. Land development			.00
8. Relocation Expenses			.00
9. Relocation payments to Individuals and Businesses			.00
10. Demolition and removal			.00
11. Construction and project improvement	·		1,115,000.00
12. Equipment			.00
13. Miscellaneous		,	.00
14. Total (Lines 1 through 13)	·	₩.	1,340,000.00
15. Estimated Income (if applicable)			.00
16. Net Project Amount (Line 14 minus 15)			1,340,000.00
17. Less: Ineligible Exclusions			.00
18. Add: Contingencies			.00
19. Total Project Amt. (Excluding Rehabilitation Grants)			1,340,000.00
20. Federal Share requested of Line 19			1,273,000.00
21. Add Rehabilitation Grants Requested (100 Percent)			.00
22. Total Federal grant requested (lines 20 & 21)			1,273,000.00
23. Grantee share	·		3,350.00
24. Other shares (State)			63,650.00
25. Total Project (Lines 22, 23 & 24) FAA Form 5100-100 (6-73) SUPERSEDES FAA FORM 5100-1- PAGES			1,340,000.00 Page 4

SECTION C - EXCLUSIONS

Classification 26	Ineligible for Participation (1)	Excluded From Contingency Provision
a.	\$	\$
).	·	
. Totals	\$	\$

SECTION D - PROPOSED METHOD OF FINANCING NON-FEDERAL SHARE

27. Grantee Share	\$2.250.00
a. Securities	\$3,350.00
b. Mortgages	
c. Appropriations (By Applicant)	
d. Bonds	
e. Tax Levies	
f. Non Cash	
g. Other (Explain)	·
h. TOTAL - Grantee share	
28. Other Shares	\$3,350.00
a. State	
b. Other	\$63,650.00
c. Total Other Shares	
29. TOTAL	\$63,650.00
	\$67,000.00

SECTION E - REMARKS

- Part V Sponsor Assurances are incorporated in this Application.
- Title VI Assurances are incorporated in this Application.

PART IV PROGRAM NARRATIVE (Attach - See Instructions)

PART IV C PROGRAM NARRATIVE APPLICATION FOR FEDERAL ASSISTANCE AIP 3-06-0182-(06-07) PALO ALTO AIRPORT PALO ALTO, CALIFORNIA

Introduction

The project funding requested is specifically designed to provide needed improvements at Palo Alto Airport. The following is a detailed description of the projects requested by the County for the City of Palo Alto's submission.

Project 1 – Automated Weather Observation System and Pilot Controlled Lighting

This project consists of the installation of an AWOS III system at the airport to provide accurate weather conditions to pilots using the airport. This project also includes installation of Pilot Controlled Lighting to allow pilots to operate the lighting systems as needed, rather than have these systems on all night. The estimated cost for this project is \$140,000.00.

Project 2 – Security Systems, Fencing and Gates

This project consists of the installation of security fencing and automatic gates to control access to airport operations area. Also included in this project is the installation of security cameras and recording systems to monitor airport access. The estimated cost for this project is \$1,200,000.00.

COST ESTIMATE AIP PROJECT NO. 3- 06-0182-(06-07) PALO ALTO AIRPORT

Project 1 – AWOS & Pilot Control Lighting Project 2 – Security Systems, Fencing & Gates	Total Cost \$140,000.00 \$1,200,000.00	,000.00	State Share 6,650.00 57,000.00	Local Share 350.00 3,000.00
Project Total	\$1,340,000.00	\$1,273,000.00	\$63,650.00	\$3,350.00

ASSURANCES Airport Sponsors

A. General.

- 1. These assurances shall be complied with in the performance of grant agreements for airport development, airport planning, and noise compatibility program grants for airport sponsors.
- 2. These assurances are required to be submitted as part of the project application by sponsors requesting funds under the provisions of Title 49, U.S.C., subtitle VII, as amended. As used herein, the term "public agency sponsor" means a public agency with control of a public-use airport; the term "private sponsor" means a private owner of a public-use airport; and the term "sponsor" includes both public agency sponsors and private sponsors.
- Upon acceptance of the grant offer by the sponsor, these assurances are incorporated in and become part of the grant agreement.

B. Duration and Applicability.

- 1. Airport Development or Noise Compatibility Program Projects Undertaken by a Public Agency Sponsor. The terms, conditions and assurances of the grant agreement shall remain in full force and effect throughout the useful life of the facilities developed or equipment acquired for an airport development or noise compatibility program project, or throughout the useful life of the project items installed within a facility under a noise compatibility program project, but in any event not to exceed twenty (20) years from the date of acceptance of a grant offer of Federal funds for the project. However, there shall be no limit on the duration of the assurances regarding Exclusive Rights and Airport Revenue so long as the airport is used as an airport. There shall be no limit on the duration of the terms, conditions, and assurances with respect to real property acquired with federal funds. Furthermore, the duration of the Civil Rights assurance shall be specified in the assurances.
- 2. Airport Development or Noise Compatibility Projects Undertaken by a Private Sponsor. The preceding paragraph I also applies to a private sponsor except that the useful life of project items installed within a facility or the useful life of the facilities developed or equipment acquired under an airport development or noise compatibility program project shall be no less than ten (10) years from the date of acceptance of Federal aid for the project.
- 3. **Airport Planning Undertaken by a Sponsor.** Unless otherwise specified in the grant agreement, only Assurances 1, 2, 3, 5, 6, 13, 18, 30, 32, 33, and 34 in section C apply to planning projects. The terms, conditions, and assurances of the grant agreement shall remain in full force and effect during the life of the project.
- C. Sponsor Certification. The sponsor hereby assures and certifies, with respect to this grant that:
 - General Federal Requirements. It will comply with all applicable Federal laws, regulations, executive orders, policies, guidelines, and requirements as they relate to the application, acceptance and use of Federal funds for this project including but not limited to the following:

Federal Legislation

- a. Title 49, U.S.C., subtitle VII, as amended.
- b. Davis-Bacon Act 40 U.S.C. 276(a), et seq.1
- c. Federal Fair Labor Standards Act 29 U.S.C. 201, et seq.
- d. Hatch Act 5 U.S.C. 1501, et seq.2
- e. Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 Title 42 U.S.C. 4601, et seq.^{1, 2}

...

- f. National Historic Preservation Act of 1966 Section 106 16 U.S.C. 470(f)).1
- g. Archeological and Historic Preservation Act of 1974 16 U.S.C. 469 through 469c.¹
- h. Native Americans Grave Repatriation Act 25 U.S.C. Section 3001, et seq.
- i. Clean Air Act, P.L. 90-148, as amended.
- Coastal Zone Management Act, P.L. 93-205, as amended.
- k. Flood Disaster Protection Act of 1973 Section 102(a) 42 U.S.C. 4012a.1
- 1. Title 49 U.S.C., Section 303, (formerly known as Section 4(f)).
- m. Rehabilitation Act of 1973 29 U.S.C. 794.
- n. Civil Rights Act of 1964 Title VI 42 U.S.C. 2000d through d-4.
- o. Age Discrimination Act of 1975 42 U.S.C. 61 01, et seq.
- p. American Indian Religious Freedom Act, P.L. 95-341, as amended.
- q. Architectural Barriers Act of 1968 42 U.S.C. 4151, et seq.1
- r. Power plant and Industrial Fuel Use Act of 1978 Section 403- 2 U.S.C. 8373.1
- s. Contract Work Hours and Safety Standards Act 40 U.S.C. 327, et seg.1
- t. Copeland Antikickback Act 18 U.S.C. 874.1
- u. National Environmental Policy Act of 1969 42 U.S.C. 4321, et seq.1
- v. Wild and Scenic Rivers Act, P.L. 90-542, as amended.
- w. Single Audit Act of 1984 31 U.S.C. 7501, et seq.2
- Drug-Free Workplace Act of 1988 41 U.S.C. 702 through 706.

Executive Order 11246 - Equal Employment Opportunity¹

Executive Order 11990 - Protection of Wetlands

Executive Order 11998 - Flood Plain Management

Executive Order 12372 - Intergovernmental Review of Federal Programs

Executive Order 12699 - Seismic Safety of Federal and Federally Assisted New Building Construction¹

Executive Order 12898 - Environmental Justice

Federal Regulations

14 CFR Part 13 - Investigative and Enforcement Procedures.

14 CFR Part 16 - Rules of Practice For Federally Assisted Airport Enforcement Proceedings. h.

14 CFR Part 150 - Airport noise compatibility planning. C.

29 CFR Part 1 - Procedures for predetermination of wage rates.1

- 29 CFR Part 3 Contractors and subcontractors on public building or public work financed in whole or part by loans or grants from the United States.1
- 29 CFR Part 5 Labor standards provisions applicable to contracts covering federally financed and assisted construction f. (also labor standards provisions applicable to non-construction contracts subject to the Contract Work Hours and Safety
- 41 CFR Part 60 Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor g. (Federal and federally-assisted contracting requirements).1
- 49 CFR Part 18 Uniform administrative requirements for grants and cooperative agreements to state and local

49 CFR Part 20 - New restrictions on lobbying. i.

49 CFR Part 21 - Nondiscrimination in federally-assisted programs of the Department of Transportation - effectuation of į. Title VI of the Civil Rights Act of 1964.

49 CFR Part 23 - Participation by Disadvantage Business Enterprise in Airport Concessions.

- 49 CFR Part 24 Uniform relocation assistance and real property acquisition for Federal and federally assisted
- 49 CFR Part 26 Participation By Disadvantaged Business Enterprises in Department of Transportation Programs.
- 49 CFR Part 27 Nondiscrimination on the basis of handicap in programs and activities receiving or benefitting from Federal financial assistance.1
- 49 CFR Part 29 Government wide debarment and suspension (non-procurement) and government wide requirements
- 49 CFR Part 30 Denial of public works contracts to suppliers of goods and services of countries that deny procurement
- 49 CFR Part 41 Seismic safety of Federal and federally assisted or regulated new building construction.1

Office of Management and Budget Circulars

- a. A-87 Cost Principles Applicable to Grants and Contracts with State and Local Governments.
- b. A-133 Audits of States, Local Governments, and Non-Profit Organizations.
 - 1 These laws do not apply to airport planning sponsors.
 - 2 These laws do not apply to private sponsors.
 - 3 49 CFR Part 18 and OMB Circular A-87 contain requirements for State and Local Governments receiving Federal assistance. Any requirement levied upon State and Local Governments by this regulation and circular shall also be applicable to private sponsors receiving Federal assistance under Title 49, United States Code.

Specific assurances required to be included in grant agreements by any of the above laws, regulations or circulars are incorporated by reference in the grant agreement.

Responsibility and Authority of the Sponsor.

- a. Public Agency Sponsor: It has legal authority to apply for the grant, and to finance and carry out the proposed project; that a resolution, motion or similar action has been duly adopted or passed as an official act of the applicant's governing body authorizing the filing of the application, including all understandings and assurances contained therein, and directing and authorizing the person identified as the official representative of the applicant to act in connection with the application and to provide such additional information as may be required.
- b. Private Sponsor: It has legal authority to apply for the grant and to finance and carry out the proposed project and comply with all terms, conditions, and assurances of this grant agreement. It shall designate an official representative and shall in writing direct and authorize that person to file this application, including all understandings and assurances contained therein; to act in connection with this application; and to provide such additional information as may be required.
- 3. Sponsor Fund Availability. It has sufficient funds available for that portion of the project costs which are not to be paid by the United States. It has sufficient funds available to assure operation and maintenance of items funded under the grant agreement which it will own

4. Good Title.

It, a public agency or the Federal government, holds good title, satisfactory to the Secretary, to the landing area of the airport or site thereof, or will give assurance satisfactory to the Secretary that good title will be acquired.

b. For noise compatibility program projects to be carried out on the property of the sponsor, it holds good title satisfactory to the Secretary to that portion of the property upon which Federal funds will be expended or will give assurance to the Secretary that good title will be obtained.

5. Preserving Rights and Powers.

- a. It will not take or permit any action which would operate to deprive it of any of the rights and powers necessary to perform any or all of the terms, conditions, and assurances in the grant agreement without the written approval of the Secretary, and will act promptly to acquire, extinguish or modify any outstanding rights or claims of right of others which would interfere with such performance by the sponsor. This shall be done in a manner acceptable to the Secretary.
- b. It will not sell, lease, encumber, or otherwise transfer or dispose of any part of its title or other interests in the property shown on Exhibit A to this application or, for a noise compatibility program project, that portion of the property upon which Federal funds have been expended, for the duration of the terms, conditions, and assurances in the grant agreement without approval by the Secretary. If the transferee is found by the Secretary to be eligible under Title 49, United States Code, to assume the obligations of the grant agreement and to have the power, authority, and financial resources to carry out all such obligations, the sponsor shall insert in the contract or document transferring or disposing of the sponsor's interest, and make binding upon the transferee all of the terms, conditions, and assurances contained in this grant agreement.
- c. For all noise compatibility program projects which are to be carried out by another unit of local government or are on property owned by a unit of local government other than the sponsor, it will enter into an agreement with that government. Except as otherwise specified by the Secretary, that agreement shall obligate that government to the same terms, conditions, and assurances that would be applicable to it if it applied directly to the FAA for a grant to undertake the noise compatibility program project. That agreement and changes thereto must be satisfactory to the Secretary. It will take steps to enforce this agreement against the local government if there is substantial non-compliance with the terms of the agreement.
- d. For noise compatibility program projects to be carried out on privately owned property, it will enter into an agreement with the owner of that property which includes provisions specified by the Secretary. It will take steps to enforce this agreement against the property owner whenever there is substantial non-compliance with the terms of the agreement.
- e. If the sponsor is a private sponsor, it will take steps satisfactory to the Secretary to ensure that the airport will continue to function as a public- use airport in accordance with these assurances for the duration of these assurances.
- f. If an arrangement is made for management and operation of the airport by any agency or person other than the sponsor or an employee of-the sponsor, the sponsor will reserve sufficient rights and authority to insure that the airport will be operated and maintained in accordance Title 49, United States Code, the regulations and the terms, conditions and assurances in the grant agreement and shall insure that such arrangement also requires compliance therewith.
- 6. Consistency with Local Plans. The project is reasonably consistent with plans (existing at the time of submission of this application) of public agencies that are authorized by the State in which the project is located to plan for the development of the area surrounding the airport.
- 7. Consideration of Local Interest. It has given fair consideration to the interest of communities in or near where the project may be located.
- 8. Consultation with Users. In making a decision to undertake any airport development project under Title 49, United States Code, it has undertaken reasonable consultations with affected parties using the airport at which project is proposed.
- 9. **Public Hearings.** In projects involving the location of an airport, an airport runway, or a major runway extension, it has afforded the opportunity for public hearings for the purpose of considering the economic, social, and environmental effects of the airport or runway location and its consistency with goals and objectives of such planning as has been carried out by the community and it shall, when requested by the Secretary, submit a copy of the transcript of such hearings to the Secretary. Further, for such projects, it has on its management board either voting representation from the communities where the project is located or has advised the communities that they have the right to petition the Secretary concerning a proposed project.
- 10. Alr and Water Quality Standards. In projects involving airport location, a major runway extension, or runway location it will provide for the Governor of the state in which the project is located to certify in writing to the Secretary that the project will be located, designed, constructed, and operated so as to comply with applicable air and water quality standards. In any case where such standards have not been approved and where applicable air and water quality standards have been promulgated by the Administrator of the Environmental Protection Agency, certification shall be obtained from such Administrator. Notice of certification or refusal to certify shall be provided within sixty days after the project application has been received by the Secretary.
- 11. Pavement Preventive Maintenance. With respect to a project approved after January 1, 1995, for the replacement or reconstruction of pavement at the airport, it assures or certifies that it has implemented an effective airport pavement maintenance-management program and it assures that it will use such program for the useful life of any pavement constructed, reconstructed or repaired with Federal financial assistance at the airport. It will provide such reports on pavement condition and pavement management programs as the Secretary determines may be useful.
- **12. Terminal Development Prerequisites.** For projects which include terminal development at a public use airport, as defined in Title 49, it has, on the date of submittal of the project grant application, all the safety equipment required for certification of such airport under

section 44706 of Title 49, United Statu ode, and all the security equipment required by rule or regulation, and has provided for access to the passenger enplaning and deplaning area of such airport to passengers enplaning and deplaning from aircraft other than air carrier

Accounting System, Audit, and Record Keeping Requirements.

- It shall keep all project accounts and records which fully disclose the amount and disposition by the recipient of the proceeds of the grant, the total cost of the project in connection with which the grant is given or used, and the amount or nature of that portion of the cost of the project supplied by other sources, and such other financial records pertinent to the project. The accounts and records shall be kept in accordance with an accounting system that will facilitate an effective audit in accordance with the Single Audit Act of 1984.
- It shall make available to the Secretary and the Comptroller General of the United States, or any of their duly authorized representatives, for the purpose of audit and examination, any books, documents, papers, and records of the recipient that are pertinent to the grant. The Secretary may require that an appropriate audit be conducted by a recipient. In any case in which an independent audit is made of the accounts of a sponsor relating to the disposition of the proceeds of a grant or relating to the project in connection with which the grant was given or used, it shall file a certified copy of such audit with the Comptroller General of the United States not later than six (6) months following the close of the fiscal year for which the audit was made.
- Minimum Wage Rates. It shall include, in all contracts in excess of \$2,000 for work on any projects funded under the grant agreement which involve labor, provisions establishing minimum rates of wages, to be predetermined by the Secretary of Labor, in accordance with the Davis-Bacon Act, as amended (40 U.S.C. 276a-276a-5), which contractors shall pay to skilled and unskilled labor, and such minimum rates shall be stated in the invitation for bids and shall be included in proposals or bids for the work.
- Veteran's Preference. It shall include in all contracts for work on any project funded under the grant agreement which involve labor, such provisions as are necessary to insure that, in the employment of labor (except in executive, administrative, and supervisory positions), preference shall be given to Veterans of the Vietnam era and disabled veterans as defined in Section 47112 of Title 49, United States Code. However, this preference shall apply only where the individuals are available and qualified to perform the work to which the
- Conformity to Plans and Specifications. It will execute the project subject to plans, specifications, and schedules approved by the Secretary. Such plans, specifications, and schedules shall be submitted to the Secretary prior to commencement of site preparation, construction, or other performance under this grant agreement, and, upon approval of the Secretary, shall be incorporated into this grant agreement. Any modification to the approved plans, specifications, and schedules shall also be subject to approval of the Secretary, and
- Construction Inspection and Approval. It will provide and maintain competent technical supervision at the construction site throughout the project to assure that the work conforms to the plans, specifications, and schedules approved by the Secretary for the project. It shall subject the construction work on any project contained in an approved project application to inspection and approval by the Secretary and such work shall be in accordance with regulations and procedures prescribed by the Secretary. Such regulations and procedures shall require such cost and progress reporting by the sponsor or sponsors of such project as the Secretary shall deem
- Planning Projects. In carrying out planning projects:
 - It will execute the project in accordance with the approved program narrative contained in the project application or with the modifications similarly approved.
 - It will furnish the Secretary with such periodic reports as required pertaining to the planning project and planning work
 - It will include in all published material prepared in connection with the planning project a notice that the material was prepared under a grant provided by the United States.
 - d. It will make such material available for examination by the public, and agrees that no material prepared with funds under this project shall be subject to copyright in the United States or any other country.
 - e. It will give the Secretary unrestricted authority to publish, disclose, distribute, and otherwise use any of the material
 - It will grant the Secretary the right to disapprove the sponsor's employment of specific consultants and their subcontractors to do all or any part of this project as well as the right to disapprove the proposed scope and cost of professional services.
 - It will grant the Secretary the right to disapprove the use of the sponsor's employees to do all or any part of the project.
 - It understands and agrees that the Secretary's approval of this project grant or the Secretary's approval of any planning material developed as part of this grant does not constitute or imply any assurance or commitment on the part of the Secretary to approve any pending or future application for a Federal airport grant.

Operation and Maintenance.

a. The airport and all facilities which are necessary to serve the aeronautical users of the airport, other than facilities owned or controlled by the United States, shall be operated at all times in a safe and serviceable condition and in accordance with the minimum standards as may be required or prescribed by applicable Federal, state and local agencies for maintenance and operation. It will not cause or permit any activity or action thereon which would interfere with its use for airport purposes. It will suitably operate and maintain the airport and all facilities thereon or connected therewith, with due regard to climatic and flood conditions. Any proposal to temporarily close the airport for non-aeronautical purposes must first be approved by the Secretary.

In furtherance of this assurance, the sponsor will have in effect arrangements for-

- (1) Operating the airport's aeronautical facilities whenever required; (2) Promptly marking and lighting hazards resulting from airport conditions, including temporary conditions; and (3) Promptly notifying airmen of any condition affecting aeronautical use of the airport. Nothing contained herein shall be construed to require that the airport be operated for aeronautical use during temporary periods when snow, flood or other climatic conditions interfere with such operation and maintenance. Further, nothing herein shall be construed as requiring the maintenance, repair, restoration, or replacement of any structure or facility which is substantially damaged or destroyed due to an act of God or other condition or circumstance beyond the control of the sponsor. It will suitably operate and maintain noise compatibility program items that it owns or controls upon which Federal funds have been expended. Hazard Removal and Mitigation. It will take appropriate action to assure that such terminal airspace as is required to protect instrument and visual operations to the airport (including established minimum flight altitudes) will be adequately cleared and protected by removing, lowering, relocating, marking, or lighting or otherwise mitigating existing airport hazards and by preventing the establishment or creation of future airport hazards. Compatible Land Use. It will take appropriate action, to the extent reasonable, including the adoption of zoning laws, to restrict the use of land adjacent to or in the immediate vicinity of the airport to activities and purposes compatible with normal airport operations, including landing and takeoff of aircraft. In addition, if the project is for noise compatibility program implementation, it will not cause or permit any change in land use, within its jurisdiction, that will reduce its compatibility, with respect to the airport, of
- 21. the noise compatibility program measures upon which Federal funds have been expended.

22. **Economic Nondiscrimination.**

- It will make the airport available as an airport for public use on reasonable terms and without unjust discrimination to all types, kinds and classes of aeronautical activities, including commercial aeronautical activities offering services to the public at the airport.
- In any agreement, contract, lease, or other arrangement under which a right or privilege at the airport is granted to any person, firm, or corporation to conduct or to engage in any aeronautical activity for furnishing services to the public at the airport, the sponsor will insert and enforce provisions requiring the contractor to-
 - (1) furnish said services on a reasonable, and not unjustly discriminatory, basis to all users thereof, and
- (2) charge reasonable, and not unjustly discriminatory, prices for each unit or service, provided that the contractor may be allowed to make reasonable and nondiscriminatory discounts, rebates, or other similar types of price reductions to volume purchasers.
- Each fixed-based operator at the airport shall be subject to the same rates, fees, rentals, and other charges as are uniformly applicable to all other fixed-based operators making the same or similar uses of such airport and utilizing the same or similar facilities.
- Each air carrier using such airport shall have the right to service itself or to use any fixed-based operator that is authorized or permitted by the airport to serve any air carrier at such airport.
- Each air carrier using such airport (whether as a tenant, nontenant, or subtenant of another air carrier tenant) shall be subject to such nondiscriminatory and substantially comparable rules, regulations, conditions, rates, fees, rentals, and other charges with respect to facilities directly and substantially related to providing air transportation as are applicable to all such air carriers which make similar use of such airport and utilize similar facilities, subject to reasonable classifications such as tenants or nontenants and signatory carriers and nonsignatory carriers. Classification or status as tenant or signatory shall not be unreasonably withheld by any airport provided an air carrier assumes obligations substantially similar to those already imposed on air carriers in such classification or status.
- It will not exercise or grant any right or privilege which operates to prevent any person, firm, or corporation operating aircraft on the airport from performing any services on its own aircraft with its own employees [including, but not limited to maintenance, repair, and fueling] that it may choose to perform.
- In the event the sponsor itself exercises any of the rights and privileges referred to in this assurance, the services involved will be provided on the same conditions as would apply to the furnishing of such services by commercial aeronautical service providers authorized by the sponsor under these provisions.
- The sponsor may establish such reasonable, and not unjustly discriminatory, conditions to be met by all users of the airport as may be necessary for the safe and efficient operation of the airport.
- The sponsor may prohibit or limit any given type, kind or class of aeronautical use of the airport if such action is necessary for the safe operation of the airport or necessary to serve the civil aviation needs of the public.
- Exclusive Rights. It will permit no exclusive right for the use of the airport by any person providing, or intending to provide, aeronautical services to the public. For purposes of this paragraph, the providing of the services at an airport by a single fixed-based operator shall not be construed as an exclusive right if both of the following apply:

a. It would be unreasonably costly, burdensome, or impractical for more than one fixed-based operator to provide such services, and

- b. If allowing more than one med-based operator to provide such services would require the reduction of space leased pursuant to an existing agreement between such single fixed-based operator and such airport. It further agrees that it will not, either directly or indirectly, grant or permit any person, firm, or corporation, the exclusive right at the airport to conduct any aeronautical activities, including, but not limited to charter flights, pilot training, aircraft rental and sightseeing, aerial photography, crop dusting, aerial advertising and surveying, air carrier operations, aircraft sales and services, sale of aviation petroleum products whether or not conducted in conjunction with other aeronautical activity, repair and maintenance of aircraft, sale of aircraft parts, and any other activities which because of their direct relationship to the operation of aircraft can be regarded as an aeronautical activity, and that it will terminate any exclusive right to conduct an aeronautical activity now existing at such an airport before the grant of any assistance under Title 49, United States Code.
- 24. Fee and Rental Structure. It will maintain a fee and rental structure for the facilities and services at the airport which will make the airport as self-sustaining as possible under the circumstances existing at the particular airport, taking into account such factors as the volume of traffic and economy of collection. No part of the Federal share of an airport development, airport planning or noise compatibility project for which a grant is made under Title 49, United States Code, the Airport and Airway Improvement Act of 1982, the Federal Airport Act or the Airport and Airway Development Act of 1970 shall be included in the rate basis in establishing fees, rates, and charges for users of that airport.

25. Airport Revenues.

- a. All revenues generated by the airport and any local taxes on aviation fuel established after December 30, 1987, will be expended by it for the capital or operating costs of the airport; the local airport system; or other local facilities which are owned or operated by the owner or operator of the airport and which are directly and substantially related to the actual air transportation of passengers or property; or for noise mitigation purposes on or off the airport. Provided, however, that if covenants or assurances in debt obligations issued before September 3, 1982, by the owner or operator of the airport, or provisions enacted before September 3, 1982, in governing statutes controlling the owner or operator's financing, provide for the use of the revenues from any of the airport owner or operators facilities, including the airport, to support not only the airport but also the airport owner or operators general debt obligations or other facilities, then this limitation on the use of all revenues generated by the airport (and, in the case of a public airport, local taxes on aviation fuel) shall not apply.
- b. As part of the annual audit required under the Single Audit Act of 1984, the sponsor will direct that the audit will review, and the resulting audit report will provide an opinion concerning, the use of airport revenue and taxes in paragraph (a), and indicating whether funds paid or transferred to the owner or operator are paid or transferred in a manner consistent with Title 49, United States Code and any other applicable provision of law, including any regulation promulgated by the Secretary or Administrator.
- c. Any civil penalties or other sanctions will be imposed for violation of this assurance in accordance with the provisions of Section 47107 of Title 49, United States Code.

26. Reports and Inspections. It will:

- a. submit to the Secretary such annual or special financial and operations reports as the Secretary may reasonably request and make such reports available to the public; make available to the public at reasonable times and places a report of the airport budget in a format prescribed by the Secretary;
- b. for airport development projects, make the airport and all airport records and documents affecting the airport, including deeds, leases, operation and use agreements, regulations and other instruments, available for inspection by any duly authorized agent of the Secretary upon reasonable request;
- c. for noise compatibility program projects, make records and documents relating to the project and continued compliance with the terms, conditions, and assurances of the grant agreement including deeds, leases, agreements, regulations, and other instruments, available for inspection by any duly authorized agent of the Secretary upon reasonable request; and
- d. in a format and time prescribed by the Secretary, provide to the Secretary and make available to the public following each of its fiscal years, an annual report listing in detail:
 - (i) all amounts paid by the airport to any other unit of government and the purposes for which each such payment was made; and
 - (ii) all services and property provided by the airport to other units of government and the amount of compensation received for provision of each such service and property.
- 27. Use by Government Aircraft. It will make available all of the facilities of the airport developed with Federal financial assistance and all those usable for landing and takeoff of aircraft to the United States for use by Government aircraft in common with other aircraft at all times without charge, except, if the use by Government aircraft is substantial, charge may be made for a reasonable share, proportional to such use, for the cost of operating and maintaining the facilities used. Unless otherwise determined by the Secretary, or otherwise agreed to by the sponsor and the using agency, substantial use of an airport by Government aircraft will be considered to exist when operations of such aircraft are in excess of those which, in the opinion of the Secretary, would unduly interfere with use of the landing areas by other authorized aircraft, or during any calendar month that-
 - a. Five (5) or more Government aircraft are regularly based at the airport or on land adjacent thereto; or
 b. The total number of movements (counting each landing as a movement) of Government aircraft is 300 or more, or the gross accumulative weight of Government aircraft using the airport (the total movement of Government aircraft multiplied by gross weights of such aircraft) is in excess of five million pounds.

28. Land for Federal Facilities. It will furnish without cost to the Federal Government for use in connection with any air traffic control or air navigation activities, or weather-reporting and communication activities related to air traffic control, any areas of land or water, or estate therein, or rights in buildings of the sponsor as the Secretary considers necessary or desirable for construction, operation, and maintenance at Federal expense of space or facilities for such purposes. Such areas or any portion thereof will be made available as provided herein within four months after receipt of a written request from the Secretary.

29. Airport Layout Plan.

- a. It will keep up to date at all times an airport layout plan of the airport showing (1) boundaries of the airport and all proposed additions thereto, together with the boundaries of all offsite areas owned or controlled by the sponsor for airport purposes and proposed additions thereto; (2) the location and nature of all existing and proposed airport facilities and structures (such as runways, taxiways, aprons, terminal buildings, hangars and roads), including all proposed extensions and reductions of existing airport facilities; and (3) the location of all existing and proposed nonaviation areas and of all existing improvements thereon. Such airport layout plans and each amendment, revision, or modification thereof, shall be subject to the approval of the Secretary which approval shall be evidenced by the signature of a duly authorized representative of the Secretary on the face of the airport layout plan. The sponsor will not make or permit any changes or alterations in the airport or any of its facilities which are not in conformity with the airport layout plan as approved by the Secretary and which might, in the opinion of the Secretary, adversely affect the safety, utility or efficiency of the airport.
- b. If a change or alteration in the airport or the facilities is made which the Secretary determines adversely affects the safety, utility, or efficiency of any federally owned, leased, or funded property on or off the airport and which is not in conformity with the airport layout plan as approved by the Secretary, the owner or operator will, if requested, by the Secretary (1) eliminate such adverse effect in a manner approved by the Secretary; or (2) bear all costs of relocating such property (or replacement thereof to a site acceptable to the Secretary and all costs of restoring such property (or replacement thereof to the level of safety, utility, efficiency, and cost of operation existing before the unapproved change in the airport or its facilities.
- 30. Civil Rights. It will comply with such rules as are promulgated to assure that no person shall, on the grounds of race, creed, color, national origin, sex, age, or handicap be excluded from participating in any activity conducted with or benefiting from funds received from this grant. This assurance obligates the sponsor for the period during which Federal financial assistance is extended to the program, except where Federal financial assistance is to provide, or is in the form of personal property or real property or interest therein or structures or improvements thereon in which case the assurance obligates the sponsor or any transferee for the longer of the following periods: (a) the period during which the property is used for a purpose for which Federal financial assistance is extended, or for another purpose involving the provision of similar services or benefits, or (b) the period during which the sponsor retains ownership or possession of the property.

31. Disposal of Land.

- a. For land purchased under a grant for airport noise compatibility purposes, it will dispose of the land, when the land is no longer needed for such purposes, at fair market value, at the earliest practicable time. That portion of the proceeds of such disposition which is proportionate to the United States' share of acquisition of such land will, at the discretion of the Secretary, 1) be paid to the Secretary for deposit in the Trust Fund, or 2) be reinvested in an approved noise compatibility project as prescribed by the Secretary.
- b. (1) For land purchased under a grant for airport development purposes (other than noise compatibility), it will, when the land is no longer needed for airport purposes, dispose of such land at fair market value or make available to the Secretary an amount equal to the United States' proportionate share of the fair market value of the land. That portion of the proceeds of such disposition which is proportionate to the United States' share of the cost of acquisition of such land will, (a) upon application to the Secretary, be reinvested in another eligible airport improvement project or projects approved by the Secretary at that airport or within the national airport system, or (b) be paid to the Secretary for deposit in the Trust Fund if no eligible project exists.
- (2) Land shall be considered to be needed for airport purposes under this assurance if (a) it may be needed for aeronautical purposes (including runway protection zones) or serve as noise buffer land, and (b) the revenue from interim uses of such land contributes to the financial self- sufficiency of the airport. Further, land purchased with a grant received by an airport operator or owner before December 31, 1987, will be considered to be needed for airport purposes if the Secretary or Federal agency making such grant before December 31, 1987, was notified by the operator or owner of the uses of such land, did not object to such use, and the land continues to be used for that purpose, such use having commenced no later than December 15, 1989.
- c. Disposition of such land under (a) or (b) will be subject to the retention or reservation of any interest or right therein necessary to ensure that such land will only be used for purposes which are compatible with noise levels associated with operation of the airport.
- 32. Engineering and Design Services. It will award each contract, or sub-contract for program management, construction management, planning studies, feasibility studies, architectural services, preliminary engineering, design, engineering, surveying, mapping or related services with respect to the project in the same manner as a contract for architectural and engineering services is negotiated under Title IX of the Federal Property and Administrative Services Act of 1949 or an equivalent qualifications-based requirement prescribed for or by the sponsor of the airport.
- **33. Foreign Market Restrictions**. It will not allow funds provided under this grant to be used to fund any project which uses any product or service of a foreign country during the period in which such foreign country is listed by the United States Trade Representative as denying fair and equitable market opportunities for products and suppliers of the United States in procurement and construction.

- 34. Policies, Standards, and Specifications. It will carry out the project in accordance with policies, standards, and specifications approved by the Secretary including but not limited to the advisory circulars listed in the Current FAA Advisory Circulars for Alp projects, and included in this grant, and in accordance with applicable state policies, standards, and specifications approved by the
- 35. Relocation and Real Property Acquisition. (1) It will be guided in acquiring real property, to the greatest extent practicable under State law, by the land acquisition policies in Subpart B of 49 CFR Part 24 and will pay or reimburse property owners for necessary expenses as specified in Subpart B. (2) It will provide a relocation assistance program offering the services described in Subpart C and fair make available within a reasonable period of time prior to displaced persons as required in Subpart D and E of 49 CFR Part 24. (3) It will accordance with Subpart E of 49 CFR Part 24.
- **36.** Access By Intercity Buses. The airport owner or operator will permit, to the maximum extent practicable, intercity buses or other modes of transportation to have access to the airport, however, it has no obligation to fund special facilities for intercity buses or for other modes of transportation.
- 37. Disadvantaged Business Enterprises. The recipient shall not discriminate on the basis of race, color, national origin or sex in the award and performance of any DOT-assisted contract or in the administration of its DBE program or the requirements of 49 CFR Part 26. The Recipient shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure non discrimination in the award and administration of DOT-assisted contracts. The recipient's DBE program, as required by 49 CFR Part 26, and as approved by DOT, is incorporated by reference in this agreement. Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the recipient of its failure to carry out its approved program, the Department may impose sanctions as provided for under Part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C.

STANDARD DOT TITLE VI ASSURANCES

City of Palo Alto, California (hereinafter referred to as the "Sponsor") hereby agrees that as a condition to receiving Federal financial assistance from the Department of Transportation (DOT), it will comply with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d et seq.) and all requirements imposed by 49 CFR Part 21, Nondiscrimination in Federally Assisted Programs of the Department of Transportation -- Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") to the end that no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the applicant receives Federal financial assistance and will immediately take any measures necessary to effectuate this agreement. Without limiting the above general assurance, the Sponsor agrees concerning this grant that:

- 1. Each "program" and each "facility" (as defined in Sections 21.23[a] and 21.23[b]) will be conducted or operated in compliance with all requirements of the Regulations.
- 2. It will insert the clauses of Attachment 1 of this assurance in every contract subject to the Act and the Regulations.
- 3. Where Federal financial assistance is received to construct a facility, or part of a facility, the assurance shall extend to the entire facility and facilities operated in connection therewith.
- 4. Where Federal financial assistance is in the form of or for the acquisition of real property or an interest in real property, the assurance shall extend to rights to space on, over, or under such property.
- 5. It will include the appropriate clauses set forth in Attachment 2 of this assurance, as a covenant running with the land, in any future deeds, leases, permits, licenses, and similar agreements entered into by the Sponsor with other parties:
- (a) for the subsequent transfer of real property acquired or improved with Federal financial assistance under this Project; and
- (b) for the construction or use of or access to space on, over, or under real property acquired or improved with Federal financial assistance under this Project.
- 6. This assurance obligates the Sponsor for the period during which Federal financial assistance is extended to the program, except where the Federal financial assistance is to provide, or is in the form of personal property or real property or interest therein or structures or improvements thereon, in which case the assurance obligates the Sponsor or any transferee for the longer of the following periods:
- (a) the period during which the property is used for a purpose for which Federal financial assistance is extended, or for another purpose involving the provision of similar services or benefits; or
 - (b) the period during which the Sponsor retains ownership or possession of the property.
- 7. It will provide for such methods of administration for the program as are found by the Secretary of Transportation or the official to whom he delegates specific authority to give reasonable guarantee that it, other sponsors, subgrantees, contractors, subcontractors, transferees, successors in interest, and other participants of Federal financial assistance under such program will comply with all requirements imposed or pursuant to the Act, the Regulations, and this assurance.

TITLE VI

8. It agrees that the United States has a right to seek judicial enforcement with regard to any matter arising under the Act, the Regulations, and this assurance.

THIS ASSURANCE is given in consideration of and for the purpose of obtaining Federal financial assistance for this Project and is binding on its contractors, the Sponsor, subcontractors, transferees, successors in interest and other participants in the Project. The person or persons whose signatures appear below are authorized to sign this assurance on behalf of the Sponsor.

Date 7/13/05

City of Palo Alto

By

Frank Benest, City Manager

Approved as to Form:

City Attorney-Gary Baum

Approved:

Director of Administrative Services- Carl Yeats

CONTRACTOR CONTRACTUAL REQUIREMENTS

ATTACHMENT 1

During the performance of this contract, the contractor, for itself, its assignees and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

- 1. <u>Compliance with Regulations</u>. The contractor shall comply with the Regulations relative to nondiscrimination in federally assisted programs of the Department of Transportation (hereinafter, "DOT") Title 49, Code of Federal Regulations, Part 21, as they may be amended from time to time (hereinafter referred to as the Regulations), which are herein incorporated by reference and made a part of this contract.
- 2. <u>Nondiscrimination</u>. The contractor, with regard to the work performed by it during the contract, shall not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor shall not participate either directly or indirectly in the discrimination prohibited by section 21.5 of the Regulations, including employment practices when the contract covers a program set forth in appendix B of the Regulations.
- 3. <u>Solicitations for Subcontracts, Including Procurements of Materials and Equipment</u>. In all solicitations either by competitive bidding or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials or leases of equipment, each potential subcontractor or supplier shall be notified by the contractor of the contractor's obligations under this contract and the Regulations relative to nondiscrimination on the grounds of race, color, or national origin.
- 4. <u>Information and Reports</u>. The contractor shall provide all information and reports required by the Regulations or directives issued pursuant thereto and shall permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the sponsor or the Federal Aviation Administration (FAA) to be pertinent to ascertain compliance with such Regulations, orders, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish this information, the contractor shall so certify to the sponsor or the FAA, as appropriate, and shall set forth what efforts it has made to obtain the information.
- 5. <u>Sanctions for Noncompliance</u>. In the event of the contractor's noncompliance with the nondiscrimination provisions of this contract, the sponsor shall impose such contract sanctions as it or the FAA may determine to be appropriate, including, but not limited to:
 - a. Withholding of payments to the contractor under the contract until the contractor complies, and/or
 - b. Cancellation, termination, or suspension of the contract, in whole or in part.
- 6. <u>Incorporation of Provisions</u>. The contractor shall include the provisions of paragraphs 1 through 5 in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Regulations or directives issued pursuant thereto. The contractor shall take such action with respect to any subcontract or procurement as the sponsor or the FAA may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, however, that in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, the contractor may request the sponsor to enter into such litigation to protect the interests of the sponsor and, in addition, the contractor may request the United States.

CLAUSES FOR DEEDS, L. NSES, LEASES, PERMITS OR SIMILAN INSTRUMENTS

ATTACHMENT 2

The following clauses shall be included in all deeds, licenses, leases, permits, or similar instruments entered into by the Sponsor pursuant to the provisions of Assurances 5(a) and 5(b).

- 1. The (grantee, licensee, lessee, permittee, etc., as appropriate) for himself, his heirs, personal representatives, successors in interest, and assigns, as part of the consideration hereof, does hereby covenant and agree (in the case of deeds and leases add "as a covenant running with the land") that in the event facilities are constructed, maintained, or otherwise operated on the said property described in this (deed, license, lease, permit, etc.) for a purpose for which a DOT program or activity is extended or for another purpose involving the provision of similar services or benefits, the (grantee, licensee, lessee, permittee, etc.) shall maintain and operate such facilities and services in compliance with all other requirements imposed pursuant to 49 CFR Part 21, Nondiscrimination in Federally Assisted Programs of the Department of Transportation, and as said Regulations may be amended.
- 2. The (grantee, licensee, lessee, permittee, etc., as appropriate) for himself, his personal representatives, successors in interest, and assigns, as a part of the consideration hereof, does hereby covenant and agree (in the case of deeds and leases add "as a covenant running with the land") that: (1) no person on the grounds of race, color, or national origin shall be excluded from participation in, denied the benefits of, or be otherwise subjected to discrimination in the use of said facilities, (2) that in the construction of any improvements on, over or under such land and the furnishing of service thereon, no person on the grounds of race, color, or national origin shall be excluded from participation in, denied the benefits of, or otherwise be subjected to discrimination, (3) that the (grantee, licensee, lessee, permittee, etc.) shall use the premises in compliance with all other requirements imposed by or pursuant to 49 CFR Part 21, Nondiscrimination in Federally Assisted Programs of the Department of Transportation, and as said

CERTIFICATION FOR CONTRACTS, GRANTS, LOANS AND COOPERATIVE AGREEMENTS

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal-appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal-appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or any employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobby Activities", in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards that all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

County of Santa Clara		
	,	
Date	By	
	,	W. Carl Honaker, County Airports Director

Statements

	<u>Consultation with Users</u> . In making a decision to undertake this project, we have undertaken reasonable consultation with affected parties using the airport at which the project is proposed.
Ø	Opposition. As pertaining to Section 509 (b) (1) (a), (4), (5), (6), and (7) of the Act, there has been no opposition, either expressly or by proposed revision of the project, by any Federal, State, or local government agency or by any person other than one of those agencies.
<u> </u>	National Flood Insurance Program. For projects which include eligible buildings or equipment and the area in which the building will be constructed or the equipment housed is identified by HUD as having a special flood hazard, we are participating in the National Flood Insurance Program.
☑	Pavement Maintenance Management Program (PMMP). As per Grant Assurance #11, we are currently complying with the requirements set forth in Public Law 103-305, section107 regarding the implementation of an effective pavement maintenance management program. Details of our program and relevant documentation are available upon request.
☑	A current Exhibit "A" Airport Property Map has been submitted as a part of prior FAA Grant projects.
	County of Santa Clara
	W. Carl Honaker, County Airports Director
	Date

49 CFR Part 20 - Appendix A

CERTIFICATION REGARDING LOBBYING

CERTIFICATION FOR CONTRACTS, GRANTS, LOANS, AND COOPERATIVE AGREEMENTS

The undersigned certifies, to the best of his or her knowledge and belief, that:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal Grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL "Disclosure of Lobby Activities", in accordance with its instructions.
- 3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipents shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352; title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signed		Date	
	W. Carl Honaker, County Airports Director	•	

ADO- Form Ala

Sponsor Certification for Selection of Consultants

City	of Palo Alto	Palo Alto Airport	
Spons	or's Name	Airport Airport	AIP No 3-06-0182-(06-07)
			Project Number
Auto	mated Weather Observation S	System and Pilot Controlled Lighting;	a
Projec	t Description	Jest and I not Controlled Lighting;	Security System, Fencing and Gates
adminiof proj comply Each c item is service based p	istrative requirements. The following with all applicable statute ertified item with a "no" respondent applicable to this project, is within Federal grant program procedures provided they are 600-14.	irway Improvement Act of 1982, as an ertification from sponsors that they willowing list of certified items includes the list is not comprehensive, nor doe by and administrative standards. Every and administrative standards. Every seems to fully explained in an attack mark the item "N/A". General procure mark the item "N/A". General procure as are described in 49 CFR 18.36. Sponsor and the specific standards in 49 Cequivalent to 49 Cequivalent to 49 Cequivalent to 49 Cequivalent to 49 Cequivalent to 49 Cequivalent to 49	major requirements for this aspect major requirements for this aspect es it relieve sponsors from fully y certified item must be marked. chment to this certification. If the ement standards for consultant onsors may use other qualifications-CFR 18 and Advisory Circular
1.	Advertisements (were) (will interest.	be) placed to ensure fair and open con	apetition from a wide area of
	determined through negotiation		terprise requirements with the fee Yes \(\subseteq \text{No} \subseteq \text{N/A} \)
		(was) (will be) performed, and a recornsiderations involved in the establishn	ment of fees. ☑ Yes □ No □ N/A
	,		☑ Yes □ No □ N/A
		ets clearly (establish)(will establish) th veen all parties engaged in carrying ou	If elements of the project. ✓ Yes □ No □ N/A
		igible for AIP funding (are) (will be)	clearly identified and separated ☑ Yes □ No □ N/A
7. Al	I mandatory contract provision sultant services contract.	ns for grant -assisted contracts (have t	oeen) (will be) included in all ☑ Yes ☐ No ☐ N/A

8.	The project (was) (will be) accomplished without significant deviations, changes, or modifications from the approved plans and specifications, except where approval (was) (will be) obtained from FAA. ☑ Yes ☐ No ☐ N/A
9.	A final project inspection (was) (will be) conducted with representatives of the sponsor and the contractor. Project files (contain) (will contain) documentation of the final inspection. ✓ Yes □ No □ N/A
10.	Work in the Grant Agreement (was) (will be) physically completed and corrective actions required as a result of the final inspection (were) (will be) completed to the satisfaction of the sponsor. ✓ Yes ☐ No ☐ N/A
11.	The as-built plans and an equipment inventory, if applicable, (have been) (will be) submitted to FAA. If requested, a revised airport layout plan (was) (will be) made available to FAA. ☑ Yes □ No □ N/A
12.	Applicable close-out financial reports (have been) (will be) submitted to FAA. ☑ Yes ☐ No ☐ N/A
ce ha	ertify that, for the project identified herein, the responses to the forgoing items are correct as marked, and the attachments, if any, are correct and complete.
Sig	ned: Dated: W. Carl Honaker, County Airports Director

Modifications to the text or format of this attachment are not permitted.

Sponsor Certification for Project Plans and Specifications

Ci	ty of Palo Alto	Palo Alto Airport	AI	P 3-06-0182-(06-07)
Spo	onsor's Name	Airport	Projec	t Number
Αι	utomated Weather Observati	on System and Pilot Controlled L	ghting; Security Sy	stem, Fencing and Gates
Pro	ject Description			
authadnof personal contract co	horizes the Secretary to requirements. The project implementation. How implying with all applicable such certified item with a "no" is not applicable to this projeculars 150/5100-6, 150/5100	ad Airway Improvement Act of 19 ire certification from sponsors that the following list of certified items rever, the list is not comprehensive tatutory and administrative standaresponse must be fully explained pject, mark the item "N/A". Gener 0-15, and 150/5100-16. A list of custion of airports and procurement of ant Assurances.	t they will comply wincludes major require, nor does it relieved rds. Every certified in an attachment to all AIP standards are irrent advisory circu	with statutory and irements for this aspect esponsors from fully item must be marked. this certification. If the edescribed in Advisory alars with specific
1.	standards and requirements	s (were) (will be) developed in ac, and no deviation from or modificessary other than those previously	cation to standards s	set forth in the advisory
2.	* *	arement of equipment (are not) (we st two manufacturers can meet the		ry or written so as to ☑ Yes ☐ No ☐ N/A
3.	The development (included by FAA.	(to be included) on the plans is o	lepicted on an Airpo	ort Layout Plan approved ☐ Yes ☐ No ☑ N/A
4.	Development which is inelespecifications.	igible for AIP funding (has been)	(will be) omitted fro	om the plans and ☑ Yes □ No □ N/A
5.	<u> </u>	ance tests required for the project included in the project specificat	•	ned in Advisory Circular ☑ Yes □ No □ N/A
6.	If a value engineering claus FAA.	se is incorporated in to the contrac	t, concurrence (was	i) (will be) obtained from ☑ Yes ☐ No ☐ N/A
7.		is (incorporate) (will incorporate) in the Federally approved enviror		nents and ☑ Yes □ No □ N/A

8. If the contract is awarded without competition, pre-	•	☐ Yes ☐ No ☑ N/A
9. Cost-plus-percentage-of-cost methods of contracting		☑ Yes ☐ No ☐ N/A
10. If the services being procured cover more than the work (was) (will be) specifically described in the a beyond three years.	divortisement, and future work	in this certification, the scope of will not be initiated ✓ Yes □ No □ N/A
I certify that, for the project identified herein, the responsible marked, and that the attachments, if any, are correct and	onses to the forgoing items are old complete.	correct as
Signed: W. Carl Honaker, County Airports Director	Dated:	· · · · · · · · · · · · · · · · · · ·

Modifications to the text or format of this attachment are not permitted.

Sponsor Certification for Equipment/Construction Contracts

C	ity of Palo Alto	Palo Alto Airport	AIP No 3-06-0182-(06-07)	
Spo	onsor's Name	Airport	Project Number	
	tomated Weather Observat	on System and Pilot Controlled Lighti	ng; Security System, Fencing and Gates	
Sec foli not star atta and Spe	cretary to require certificati lowing list of certified item t comprehensive, nor does i ndards. Every certified iten achment to this certification d awarding equipment and onsors may use their procus	on from sponsors that they will comply is includes major requirements for this it relieve sponsors from fully complying must be marked. Each certified item in the item is not applicable to this proconstruction contracts within Federal greenent procedures reflecting State and	as amended (herein called the Act), authorizes with statutory and administrative requirements aspect of project implementation. However, the g with all applicable statutory and administrative with a "no" response must be fully explained in roject, mark the item "N/A". Standards for advergant programs are described in 49 CFR 18.36. local laws or regulations provided procurements 150/5100-6, 150/5100-15, and 150/5100-16.	i. The list is e an tising
1.		duct (is) (will be) in effect governing to awarding procurement contracts.	he performance of the sponsor's officers, employ ✓ Yes □ No □ N/A	yees,
2.	Qualified personnel (are) construction inspection ar		administration, engineering supervision, and ☑ Yes ☐ No ☐ N/A	
3.	The procurement (was) (v	vill be) publicly advertised using the co	ompetitive sealed bid method of procurement. ✓ Yes □ No □ N/A	
4.	The request for bids clear equipment and/or services		cribe) all administrative and other requirements of Yes □ No □ N/A	of the
5.	Only one qualified positiveThe contract is to beLife cycle costing is	be) obtained from FAA prior to contracterson/firm submits a responsive bid. awarded to other than the lowest responsive factor in selecting the lowest responsices are more than 10% over the sponsices.	sive bidder, and	es:
6.	All contracts exceeding \$ payment bond of 100%.	100,000, (require) (will require) a bid	guarantee of 5%, a performance bond of 100%, ☑ Yes □ No □ N/A	and a

8.	For construction activities within or near aircraft operational areas, the requirements contained in Advisory Circular 150/5370-2 (have been) (will be) discussed with FAA and incorporated into the specifications. A safety/ phasing plan (has been) (will be) prepared, and FAA concurrence (has been) (will be) obtained, if required.
	☑ Yes □ No □ N/A
9.	The project (was) (will be) physically completed without Federal participation in costs due to errors or omissions in the plans and specifications which were foreseeable at the time of project design.
	☑ Yes □ No □ N/A
	I certify that, for the project identified herein, the responses to the forgoing items are correct as marked, and that the attachments, if any, are correct and complete.
	Signed: Dated: Dated:

Modifications to the text or format of this attachment are not permitted.

Sponsor Certification for Real Property Acquisition

City of Palo Alto	Palo Alto Airport	AIP No 3-06-0182-(06-07)
Sponsor's Name	Airport	Project Number
Automated Weather Observat	ion System and Pilot Controlled Lighting	g; Security System, Fencing and Gates
Project Description		
rizes the Secretary to require requirements. The following mentation. However, the list applicable statutory and adm a "no" response must be full project, mark the item "N/A" 49 CFR 24. The project Grant requirements of the secretary to require requirements.	certification from sponsors that they list of certified items includes major is not comprehensive, nor does it rel inistrative standards. Every certified y explained in an attachment to this c 'General requirements on real proper at Agreement contains specific require	982, as amended (herein called the Act), author will comply with statutory and administrative requirements for this aspect of project impleieve sponsors from fully complying with all item must be marked. Each certified item with ertification. If the item is not applicable to this rty acquisition and relocation assistance are in rements and assurances on the Uniform t of 1970, as amended (Uniform Act).
	le (is) (will be) held on the property i vill prepare) and (has) (will have) on	n the project. The sponsor's attorney or other file title evidence on the property. ☑ Yes □ No □ N/A
	mbrances exist in the title which advented (have been) (will be) extinguished	ersely impact the sponsor's intended use of d, modified, or subordinated. ☑ Yes □ No □ N/A
· -	ublic agency and the lease contains n	term is for 20 years or the useful life of the to provisions which prevent full compliance ✓ Yes □ No □ N/A
		ne current Exhibit "A" (property map). The the approved Airport Layout Plan and project ☑ Yes ☐ No ☐ N/A
	btained to ensure land is used for pur	oproach zones and related areas, property poses compatible with noise levels associated ☑ Yes □ No □ N/A
surfaces, property intere	st (was) (will be) obtained for the rig	n zones and areas related to FAR Part 77 ht of flight and right of ingress and egress to right to restrict the establishment of future Yes No N/A

7.	Contracts exceeding \$100,000 (eontain) (will contain) provisions or conditions specifying administrative, contractual and legal remedies, including contract termination, for those instances in which contractors violate or breach contract terms. They also (eontain) (will contain) provisions requiring compliance with applicable standards and requirement issued under Section 306 of the Clean Air Act (42 USC 1857(h)), Section 508 of the Clean Water Act (33 USC 1368 Executive Order 11738, and environmental protection regulations (40 CFR Part 15). Yes No NA
8.	All construction contracts involving-labor (eontain) (will contain) provisions insuring that in the employment of labor honorably discharged Vietnam era veterans and disabled veterans will be given preference. ✓ Yes □ No □ N/A
9.	All construction contracts exceeding \$2,000 (eontain) (will contain) provisions requiring compliance with the Davis-Bacon Act and bid solicitations (eontain) (will contain) a copy of the current Federal wage rate determination. Provisions requiring compliance with Sections 103 and 107 of the Contract Work Hours and Safety Standards Act (4 USC 327-330) and the Copeland "Anti-Kick Back" Act (are) (will be) included.
	☑ Yes □ No □ N/A
10.	All construction contracts exceeding \$10,000 (eontain) (will contain) appropriate clauses from 41 CFR Part 60 for compliance with Equal Employment Opportunity Executive Order 11246. ☑ Yes ☐ No ☐ N/A
11.	All contracts and subcontracts (contain) (will contain) clauses required from Title VI Civil Rights Assurances and 49 CFR 26 for Disadvantaged Business Enterprises.
	Appropriate checks (have been) (will be) made to assure that contracts or subcontracts are not awarded to those individuals or firms suspended, debarred, or voluntarily excluded from doing business with any DOT element and appearing on the DOT Unified List.
cer	tify that, for the project identified herein, the responses to the forgoing items are correct as marked, and that the hments, if any, are correct and complete.
	Signed:
	W. Carl Honaker, County Airports Director

Modifications to the text or format of this attachment are not permitted.

Sponsor Certification for Construction Project Final Acceptance

_C	City of Palo Alto	Palo Alto Airport	AIP No 3-06-0182-(06-07)
Sp	oonsor's Name	Airport	Project Number
<u>_</u> A	Automated Weather Observation	System and Pilot Controlled Lighting	g; Security System, Fencing and Gates
Pr	roject Description		
au ad as fu ma ce fir sh	of thorizes the Secretary to requisition in the Iministrative requirements. The pect of project implementation lly complying with all applications arked. Each certified item with a statement of the item is not a secretance and close-out of the item.	ire certification from sponsors that the following list of certified items in. However, the list is not compressible statutory and administrative such a "no" response must be fully explicable to this project, mark the of Federally funded construction puts are accurate and proper in according	182, as amended (herein called the Act), at they will comply with statutory and includes major requirements for this thensive, nor does it relieve sponsors from tandards. Every certified item must be aplained in an attachment to this item "N/A". General requirements for rojects are in 49 CFR 18.50. The sponsor dance with specific requirements of the
1.	The personnel engaged in parameters and testing (were) (will be)	roject administration, engineering determined to be qualified and cor	supervision, and construction inspection npetent to perform the work. ☑ Yes □ No □ N/A
2.	records document work in p	rogress, quality and quantity of m d the contractor, weather, equipme	at engineer/construction inspector. These laterials delivered, test locations and ent use, labor requirements, safety Yes No N/A
3.	Weekly payroll records and and reviewed by the sponso 150/5100-6 and 150/5100-1	r for Federal Labor And Civil Rig	(will be) submitted by the prime contractor thts Requirements (Advisory Circulars ☑ Yes □ No □ N/A
4.	Complaints regarding the m (will be) submitted to FAA.	andated Federal provisions set for	th in the contract documents (have been) ☑ Yes □ No □ N/A
5.	All tests specified in the plans and specifications (were)(will be) performed and the test results documented. A summary of test results (has been) (will be) available to FAA. ✓ Yes ☐ No ☐ N/A		
6.	For any test results outside a	allowable tolerances, appropriate o	corrective actions (were) (will be) taken. ☑ Yes □ No □ N/A
7.	the sponsor's internal audit of reduction factors required by	of contract records kept by the res	ce with contract provisions and verified by ident engineer. If appropriate, pay e) applied in computing final payments e to FAA. Yes No N/A

7. Appraisals (include) (will include) valuation data to estimate the current r interest acquired on each parcel and (were) (will be) prepared by qualified r by the sponsor. An opportunity (was) (will be) provided the property owner accompany appraisers during inspections.	real estate appraisers hired or representative to Yes No N/A
8. Each appraisal (has been) (will be) reviewed by a qualified review appraisal for the offer of just compensation. The written appraisals and review appraisals.	sal are available to FAA for ☑ Yes ☐ No ☐ N/A
9.A written offer to acquire each parcel (was) (will be) presented to the properties approved amount of just compensation.	
10.Effort (was) (will be) made to acquire each property through negotiation induce agreement. If negotiation (was) (will be) successful, project files (cor supporting documents for settlements.	with no coercive action to ntain) (will contain) ☑ Yes □ No □ N/A
11. If a negotiated settlement is not reached, condemnation (was) (will be) in not less than the just compensation (was) (will be) made prior to possession files (contain) (will contain) supporting documents for awards.	itiated and a court deposit of the property. Project
	☑ Yes □ No □ N/A
12.If displacement of persons, businesses, farm operations, or nonprofit orga relocation assistance program (was) (will be) established. Displaced persons general information on the relocation program in writing, notice of relocation notice to vacate.	nizations is involved, a (received) (will receive) n eligibility, and a 90- day □ Yes □ No ☑ N/A
13. Relocation assistance services, comparable replacement housing, and relocation expenses (were) (will be) provided within a reasonable time period occupant in accordance with the Uniform Act.	payment of necessary I for each displaced ☐ Yes ☐ No ☑ N/A
I certify that, for the project identified herein, the responses to the forgoing items that the attachments, if any, are correct and complete.	are correct as marked, and
Signed: Dated: W. Carl Honaker, County Airports Director	

