

**COUNTY OF SANTA
CLARA**

**PUBLIC SAFETY
REALIGNMENT PROGRAM**

**MONTHLY STATUS
REPORT**

January 1, 2012– January 31, 2012

February 1, 2012 – February 29, 2012

PROBATION DEPARTMENT:

Between October 1, 2011 and February 29, 2012, 583 Post Release Community Supervision (PRCS) offenders were released. In addition, 40 Mandatory Supervision [1170(h)] offenders were sentenced. Compared to the last reporting cycle of November – December 2011, there was a slight decrease of AB109 clients. November – December 2011 there were 297 offenders compared to 201 offenders for the January – February 2012 reporting period. However, the total overall population is 19% more than CDCR's initial projections. Additional information on client's supervision and demographics is attached to this report.

The Probation Department provided a verbal update on service referral delivery at the March 15, 2012 Community Corrections Partnership meeting. Of the 583 PRCS clients, 70 were referred to job training services as part of two service agreements Probation manages. Of the 70 clients referred, only 50 were enrolled. In addition, since November 2011, there have been 100 new admissions and clients discharged for substance abuse services as noted by the Department of Alcohol and Drug Services (DADS) through Pathway, Family Children Services and Crossroads service contracts. DADS continue to be involved in activities and planning meetings with Probation to develop Policies and Procedures to get people into treatment as quickly as possible.

Staffing

Of the total 36 AB109 positions nine are currently vacant. Probation is conducting the hiring interview for a Probation Manager. Job specifications for seven Deputy Probation Officers are currently underway with recruitment beginning in mid April 2012. The recruitment for the Management Analyst will begin in April 2012.

Funding

Of the combined initial and mid-year allocations of \$4,084,174 for Probation, approximately \$414,938 has been expended for this reporting period, with a total of \$962,762 expended to date. There are no new related service agreements.

The remaining allocation amount is estimated at \$2,821,412.

OFFICE OF THE SHERIFF/DEPARTMENT OF CORRECTION:

Custodial Supervision and Support:

As of February 28, 2012, there were 451 inmates in custody related to AB109. Of those, 244 were serving a jail sentence, 28 were in custody for local violations flash/PRCS Revocations, and 179 were in custody for State Parole violations.

- In addition to the 451, there were 46 new commitments to State Prison awaiting transportation to CDCR.
- Of the 179 in custody for State parole violations, it should be noted that, now that the State is no longer paying the daily jail rate for these inmates, parole agents are revoking parolees at such a high number that this population has quadrupled.

Administrative Booking Unit

The Administrative Booking unit continues to research technical issues, such as whether or not certain privileges granted in State prison would be applied at local facilities. The Unit also continues to handle an increase in Inmate Request Forms from AB109 inmates, to which staff is required to review and respond. The unit is continually impacted by:

- The need to complete two judicial update forms for tracking purposes of the AB109 inmates.
- Copying abstracts of judgments to be forwarded to Inmate Programs unit, for placement in classes preparing the inmates for pre-release and re-entry.
- The Prison Transport Desk is being handled by two staff due to the additional time computations for inmates sentenced under AB 109, while still completing the Prison Transfer lists.

Custody Operations

In the FY 2012 Recommended Budget, custody staffing for Elmwood's M-8 Building was reduced significantly, and inmate programs in this area were stopped. Now, full educational and rehabilitation programming at the M-8 Building has been restored through the use of AB 109 funding allocation.

Correctional Industries

Correctional Industries operates five vocational training trades/shops including cabinet making, upholstery, welding, landscaping and auto body repair. Inmates learn and train under the supervision of qualified vocational instructors provided through Milpitas Adult Education. Correctional Industries currently has thirty (30) AB 109 sentenced inmates assigned to in-custody vocational programs. This has doubled the overall population assigned to Correctional Industries programs over the last month.

The Correctional Deputy/Officer position is needed to provide more security within the shops/Correctional Industries areas, since the more sophisticated AB 109 inmates are now assigned there. The CSA position will provide support for all functions related to operating the Correctional Industries shops. Because the Industries Correctional Deputy/Officer has been selected, and the selection process for the Industries Custodial

Support Assistant is underway, the department is now able to offer more AB 109 inmates the opportunity to participate in Industries programs.

Classification Unit

The bed management evaluation process of inmates sentenced pursuant to AB 109 is on-going. Jail Classification officers are re-evaluating inmates sentenced under AB 109 on a regular basis for lower security level housing, in order to make room for more AB 109 inmates.

Information Systems Unit

Information Technology staff continues the development of computer programs for statistical reporting related to AB109. The systems track types and lengths of sentences, demographics, housing location, and security levels. The Department continues to utilize an internal needs assessment tool for the AB 109 inmates until the purchase of a new validated Risk and Needs Assessment tool is completed. The tool is called Correctional Assessment and Intervention System (CAIS) and will interact with the one recently implemented by Probation. The Department has moved forward with the County's purchasing process for the CAIS tool, and plan to implement in mid-Spring 2012. The CAIS system will provide a more accurate diagnosis of the risk and needs of the inmates, and staff can use it match inmates with programs within their security level that meet their criminogenic needs.

Staffing

The recruitment was completed for the Industries Correctional Deputy/Officer and the position is now filled. The Department is completing the selection process for the Industries Custody Support Assistant positions.

For the Custody Operations and Classification Unit Correctional Deputy positions, the vacancies from the newly added AB 109 positions will be filled once the next correctional cadet academy graduates in the Spring of 2012. Current officer staffing needs are being met by backfilling with overtime where appropriate and necessary.

For the Custody Operations Custody Support Assistant positions, the Department continues to work with ESA to recruit and fill the positions. A job announcement planned for January 2012 was delayed, and March 2012 is being targeted for completion. Staffing needs are being met by backfilling with overtime where appropriate and necessary.

For the Law Enforcement Clerk positions, ESA has an existing recruitment list and the Department has been conducting interviews. Staffing needs are being met by backfilling with overtime where appropriate and necessary.

Of the combined initial and mid-year allocations of \$1,953,339 for Custodial Supervision and Support, approximately \$289,223 has been expended for this reporting period, with a total of \$748,336 expended to date. There are no new related service agreements.

The remaining allocation amount for this component is estimated at \$1,205,003.

Programs and Custodial Alternatives:

Programs Unit

The AB 109-funded staff who is assigned to the Inmate Programs and Custodial Alternative Supervision (CASU) units worked with other department to prepare the Re-Entry Center for occupancy. The Unit began operations there on February 6, 2012, and the Public Service Program and Regimented Corrections Phase II/Day Reporting have been relocated to this site.

A Rehabilitation Officer was selected for AB 109 case management and continues work in this area. Case managing is necessary to prepare this inmate population for re-entry and early release into alternative sentencing programs to meet jail population management needs.

Custodial Alternative Supervision Unit (CASU)

The staff is evaluating inmates for the early release programs. Because this unit is new, policies and procedures are being finalized, and will undergo the standard approval process before implementation.

Staffing

The Rehabilitation Officer position in Inmate Programs has been filled, and the CASU unit is now fully staffed.

Of the combined initial and mid-year allocations of \$1,350,454 for Programs and Custodial Alternatives, approximately \$366,202 has been expended for this reporting period, with a total of \$592,599 expended to date. There are no new related service agreements.

The remaining allocation for this component is estimated at \$757,855.

Direct Inmate Services:

Transportation Unit and Food Services

On a daily basis, staff continues to closely monitor the size, type, and length of stay of inmate population in order to direct the appropriate level of inmate services, such as Food Service staff and Inmate Transportation. Due to the recent increase in the

minimum security inmate population, the inmate kitchen worker pool has increased. This enables the Food Services unit to fill the vital inmate worker positions in the kitchen.

Staffing

For the Correctional Deputy position, the vacancy will be filled once the next correctional cadet academy graduates in the Spring of 2012. Current officer staffing needs are being met by backfilling with overtime or extra help.

For the Food Services positions, the Department continues to work with ESA to recruit and fill the positions. Staffing needs are being met by backfilling with overtime where appropriate and necessary.

Of the initial allocation of \$476,719 for Direct Inmate Services, approximately \$95,062 has been expended for this reporting period, with a total of \$254,850 expended to date. There are no new related service agreements.

The remaining allocation for this component is estimated at \$221,869.

CUSTODY HEALTH SERVICES: Provision of Mental and Psychiatric Services

Custody Health Services is providing physician, nursing and mental health services to inmates being retained locally rather than being transferred to State facilities.

Custody Health received an initial allocation of \$852,678 and 5.0 FTE new positions.

For January 2012, approximately 221 AB109 inmates received services out of a total of 300 inmates who have been retained: 73% served. For February 2012 approximately 226 AB109 inmates received services out of a total of 314 inmates who have been retained: 72% served.

Of the initial allocation of \$852,678, approximately \$170,157 was expended for this reporting period, with a total of \$395,891 expended thus far, related to medical and mental health costs for the AB109 inmates. CHS has an existing service agreement with a contract psychiatrist that can be utilized for these services. There no new related service agreements.

The remaining amount of the initial allocation is \$456,787.

DISTRICT ATTORNEY: Support of Revocation Cases

The Office of the District Attorney received an initial allocation of \$225,222 and 2.0 FTE new positions.

For this reporting period, a total of \$16,109 has been expended in salaries and benefits costs for the Attorney and Leal Clerk positions. The Office of the District Attorney has

assigned one senior Deputy District Attorney (DDA) with experience in collaborative court processes to prepare and appear at PRCS and Mandatory Supervision (MS) revocation matters. Following system refinements, those matters are now heard at the Hall of Justice on designated calendars on Monday mornings. Prior to each calendar, the assigned DDA must review each revocation file (including the content of each petition) in order to properly advocate on behalf of the People for appropriate sanctions. Costs are estimated based on the percentage of this DDA's time spent on these tasks (currently estimated at about 15%, but this is expected to increase as case volume expands).

The other position funded by this source is a Legal Clerk, who will be responsible for receiving Revocation Petitions from the Probation Department, creating a file with appropriate content for each case, obtaining files for underlying local prosecutions and assembling all of the foregoing for each calendar (and returning files to Records after court and routing to archives when concluded). The Legal Clerk will also assist in data collection for realignment program evaluation. This position has been filled and as revocation workload expands, this individual will be transitioned to an increasing percentage of those duties.

To date, there have been approximately 100 PRCS Revocation Petitions filed, although some of those are likely in warrant status.

In addition to tasks related to the revocation process, when issuing new criminal cases, DDAs must now determine whether each defendant, if convicted, is eligible for Penal Code (PC) §1170(h) sentencing options. Even if the charges to be filed are themselves eligible, criminal history information must be reviewed for disqualifying events unique to each defendant and appropriate allegations added to the charging document. Similar analyses must be performed in all felony cases being reviewed for pre-trial evaluations, for discussion of potential negotiated dispositions and to assure that eventual sentence choices are appropriate and that all options have been considered. While it is very hard to quantify the staff time consumed by the foregoing tasks, it may be helpful to note that the District Attorney's Office commenced approximately 3,200 new felony prosecutions between October 1, 2011 and March 1, 2012, with approximately 2,300 of those matters being eligible for PC §1170(h) options (approximately 67% of the disqualifications are due to the new charge(s), while approximately 33% are ineligible due to prior convictions or registrant status). During that same time period, approximately 4,500 felony sentencings were conducted.

One Assistant District Attorney (ADA) has been designated as the office's primary point of contact for most issues related to Public Safety Realignment. In addition to preparation for, and attendance at, recurring county-wide meetings including Community Corrections Partnership workgroups, this ADA is also responsible for disseminating information about emerging practices and coordinating monitoring

processes and exchanging information with county criminal justice partners and other jurisdictions on revocation and other tasks. To date, these duties have constituted about 20% of this ADA's time. The Office will continue to monitor this resource demand as case volume expands. There have been no new related service agreements nor or any anticipated by this Office.

The total expended to date is \$39,280. The remaining amount of the initial allocation is \$185,942.

PUBLIC DEFENDER: Support of Revocation Cases

The Office of the Public Defender received an initial allocation of \$225,222 and 2.0 FTE new positions.

For this reporting period, a total of approximately \$19,314 has been expended in salaries and benefits costs for both the Attorney and Legal Clerk positions, the latter of which was filled in January 2012. In January 2012, there were 7 revocation cases opened and 30 hearings and in February 2012, there were 66 revocation cases opened and 62 hearings. There have been no new related service agreements nor or any anticipated by this Office.

The total expended to date is \$34,605. The remaining amount of the initial allocation is \$190,617.

TRAINING AND RETENTION:

Training and retention efforts received an initial allocation of \$550,000 and no new FTE positions.

For this reporting period, no new update was submitted by the Probation Department.

COMMUNITY CORRECTIONS PARTNERSHIP: Planning Efforts

CCP Planning efforts received an initial allocation of \$200,000 and no new FTE positions.

For this reporting period, in February 2012 and as part of Mid-Year budget actions, approximately \$150,000 was transferred to the Department of Correction for the acquisition of the CAIS needs and assessment tool. The remaining funds will be spent or obligated by June 30, 2012 to pay for training for an EBP for stakeholders (criminal justice partners and community based organizations).

PROGRAMMING AND SERVICES: Support Transition from Custody to Community

Programming and services received an initial allocation of \$3,653,077 and 6.0 FTE new positions.

Re-Entry Multi-Agency Pilot Project

The Departments involved with this project have been meeting regularly to discuss the results of the state prison visits and the outcome of the client's information packets. Ongoing meetings will continue with the aim of developing and testing a service need assessment and delivery model that will facilitate interagency coordination in assessing and providing relevant and effective re-entry services for incarcerated adults exiting prison and jail settings.

All six staff positions are expected to be filled by March 2012 and will be located at the Santa Clara County Re-Entry Resource Center in the late Spring of 2012.

MHSA provided an initial allocation of \$665,576 towards this pilot project. The remaining amount of the initial allocation is approximately \$576,696.

Substance Abuse and Mental Health Treatment Services

Substance use treatment services are needed for State Parolees entering the County. Department of Drug and Alcohol (DADS) expanded the Adult System of Care services to fund substance abuse treatment services and service expansion for outpatient, residential, transitional housing units (THU), and special THU services. In November 2011 the Board of Supervisors approved agreements with Crossroads, Family and Children Services and Pathway Society, Inc.

DADS continues to be involved in activities/planning meetings with: AB109 Re-Entry Multi-Agency Pilot Team; AB109 Re-Entry Resource Center; Community Corrections Partnership; and the AB109 Data and Evaluation Working Group. In addition, DADS continues to work with Probation Managers and Supervisors to develop process and procedures to get clients into treatment as quickly as possible.

The Mental Health Department will amend contracts for criminal justice full service partnerships to add 30 additional slots for AB109 clients. Additionally, an informal competitive process has been completed to secure 10 supported housing beds and another such process to select three (3) faith-based community centers will be initiated in March 2012.

MHSA Self Help & Peer Support and Faith, Family and Community Support Services

Mental Health will use MHSA funds to support self-help and peer support services. These efforts will be combined with the aforementioned faith-based center proposal. As such, Mental Health will provide ministry and mentorship opportunities.

On January 18, 2012, members of the Faith Collaborative participated in a discussion of Shaping a Faith-Based Re-Entry Collaborative. This was a very informative and productive process that provided the foundations for the mission, vision and values of the collaborative. The members of the faith collaborative committed to provide services to individuals returning to the community based on their immediate, basic-felt needs, spiritual needs – providing someone to speak with immediately, and long-term needs. A small number of members volunteered to serve as the core planning group of the large collaborative body, and as such, over the course of two meetings, created a priority list of needs and resources, confirmed the collaborative structure, and formulated the mission, vision, values and approach. Mental Health has successfully hired an extra help staff person to assist with the coordination of the work of the collaborative and is in the process of compiling a list of faith focused services to create an inventory of resources available to individuals returning to the community with their families.

In February 2012, the Flex Funds contract Request for Proposals was released and an evaluation is underway.

Employment Education and Support

The Probation Department entered into an agreement with Family and Children Services to provide Cognitive Behavioral Treatment (CBT) as part of the Department's project under SB 678. These services are also available to the realignment population. Agreements have been finalized with both Catholic Charities and the Center for Training and Careers (CTC) to provide job placement services and educational and vocational programs for both PRCS and 1170(h) offenders.

For this reporting period, no new update was submitted by the Probation Department.

COUNTY of Santa Clara RE-ENTRY RESOURCE CENTER:

Re-Entry Resource Center Programs and Services

Phase I: The Office of the Sheriff and the Department of Correction relocated the Weekend Work Program (WWP), Public Service Program, Day Reporting Program, and the Re-Entry Corrections Program (RCP) to the Re-Entry Resource Center. Classes are being held on the second floor. The Custody Alternative Sentencing Unit (CASU) staff also moved to the Center.

Phase II: Beginning in early spring 2012, the Re-Entry Multi-Agency Pilot (Re-Entry MAP) funded through a Mental Health Services Act (MHSA) Innovation Project, will occupy an office at the Center. This team consists of staff from Probation, Mental Health, Department of Alcohol and Drugs, Custody Health and Social Services to provide pre-release and post-release needs screening, assessment, and service linkage and data analysis. Additionally, four Deputy Probation Officers will locate to the Center to assist with the Re-Entry MAP, conduct assessments, and provide case management services.

An Advisory Stakeholder Group was formed to provide support and recommendations related to the Re-Entry Resource Center. Members include staff from the following County Departments: Office of the County Executive, Office of the Sheriff, Department of Corrections, Probation Department, Mental Health, Department of Alcohol and Drugs, Custody Health, Facilities and Fleet and Information Systems Department. In late February 2012, an Interim Coordinator was selected to assist the stakeholder group and the Office of the County Executive. This Interim Coordinator, an existing County employee from Custody Health, will provide program and service delivery coordination, communication to internal and external stakeholders, coordinate and plan meetings, provide facility management and assist in long range planning.

The Re-Entry MAP team, Probation, and Department of Correction are in the early stages of collecting data on the services AB109 clients will require. What is currently known is the need to provide housing, substance abuse assistance, and job training services. These needs are currently being addressed by the County. For example, on February 7, 2012 as part of the Mid-Year, the Board of Supervisors approved one-time AB109 funding of \$30,000 to provide housing support liaison services to clients supervised by Probation and clients entering the Re-Entry Resource Center in obtaining and maintaining housing, establishing effective working relationships with interagency program staff and property managers/owners, and educate clients regarding tenancy standards. This service will support the Multi-Agency teams and Re-Entry team for the duration of the pilot. The Administration will have an opportunity to evaluate this recommendation at a later time and consider whether a position, such as a Rehabilitation Counselor, is needed, and how a position can bill Medi-Cal MAA on behalf of the client's housing needs.

Phase III: Community based organizations will be incorporated into the Resource Center that can provide services at the Center beginning in the summer 2012. During the next few months, the Administration and the Stakeholder Group will engage the community based organizations by describing the purpose and the goal of the Resource Center and how to incorporate various organizations into the Center. The County has been approached by multiple non-profit agencies to provide services directly to inmates at the Resource Center. On March 7, 2012, the Administration and the Interim Coordinator met with members of the Silicon Valley Council of Nonprofits to receive feedback from organizations to find which service delivery options are available and what an effective partnership between the County and local community based organizations can look like.

Lastly, the County's Community Corrections Partnership *Evaluation Design and Policy Research and Data Analysis/Validation* working group is in the process of determining the outcomes and data elements for an effective evaluation process. The Resource Center will be evaluated in the near future to determine the type of changes needed to fulfill its purpose.

Re-Entry Resource Center Building Operations

The Facilities and Fleet Department (FAF) received an initial allocation of \$100,000 for Re-Entry Center related building upgrades, maintenance and general repair.

To date, FAF has:

- Painted three (3) floor offices and hallways
- Installed carpeting in the main conference room
- Performed electrical work and added exterior lighting
- Installed exterior signs for parking
- Performed cabinet and plumbing work in restrooms
- Removed old/non functional locks and installed new locks throughout the building
- Replaced panic hardware as needed and re-keyed exterior and interior doors (per request of occupying departments).

For this reporting period (and to include December 2011 as well), approximately \$77,898 has been expended. The remaining amount of the initial allocation is \$22,102.